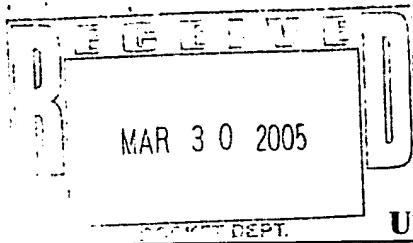




APPENDIX A



51585/28

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 22, 2005

PTAS



102858920A

FOLEY & LARDNER LLP
MARSHALL J. BROWN
321 NORTH CLARK STREET, SUITE 2800
CHICAGO, IL 60610-4764

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/04/2005

REEL/FRAME: 015806/0337

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 051583-0289

ASSIGNEE:
KANG, SUN-HO

DOC DATE: 11/02/2004

ASSIGNEE:
AMINE, KHALIL

DOC DATE: 11/02/2004

ASSIGNEE:
CHICAGO, THE UNIVERSITY OF
5801 SOUTH ELLIS AVENUE
CHICAGO, ILLINOIS 60637

SERIAL NUMBER: 10699484

FILING DATE: 10/31/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: LAYERED CATHODE MATERIALS FOR LITHIUM ION RECHARGEABLE BATTERIES

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (modified)

(Rev 6-03)

3-4-05

RECC

03-22-2005



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

TEET

To the Director of the United States Patent

102858920

ched original documents or copies thereof.

1. Name of conveying party(ies):

Sun-Ho Kang
Khalil Amine

2. Name and address of receiving party(ies):

The University of Chicago
5801 South Ellis Avenue
Chicago, Illinois 60637

Additional conveying party(ies)

NO

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

November 2, 2004

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

10/699,484

B. Patent Number(s):

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Marshall J. Brown
FOLEY & LARDNER LLP
321 North Clark Street
Suite 2800
Chicago, Illinois 60610-4764

6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

Check Enclosed

 Charge to deposit account

8. Deposit account number: 06-1450

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Marshall J. Brown

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

ASSIGNMENT

I, Sun-Ho Kang of Naperville and Khalil Amine of Downers Grove, in the State of Illinois for good and valuable considerations to us in hand paid, the receipt and sufficiency whereof is hereby acknowledged, have sold, assigned, conveyed and set over, and do hereby sell, assign, convey and set over unto THE UNIVERSITY OF CHICAGO, an Illinois corporation, organized under the laws of the State of Illinois, having a place of business at 5801 South Ellis Avenue, Chicago, Illinois 60637, its successors and assigns, the entire right, title and interest in and to all subject matter invented or designed by us and disclosed in the patent application for the LAYERED CATHODE MATERIALS FOR LITHIUM ION RECHARGEABLE BATTERIES, ANL Case No. ANL-IN-02-074, Attorney Docket No. 51583/289, filed in the U.S. Patent and Trademark Office on October 31, 2003, Serial No. 10/699,484, and any divisions and continuations thereof and in and to all Letters Patent of the United States, including any reissues and extensions thereof that may be obtained therefor, and the right, where such right can be legally exercised, in its own name to apply for and obtain Letters Patent, Inventor's Certificates, Utility Models and Designs, in countries foreign to the United States, including the full right to claim for any such application the benefits of the International Convention as fully and entirely as we could have done if the application had been in our names, and the entire interest in any Letters Patent, Inventor's Certificate, Utility Model or Design which may be granted on any such application in such foreign country; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue Letters Patent, Inventor's Certificates, Utility Models and Designs, on applications as aforesaid, to issue any and all Letters Patent, Inventor's Certificates, Utility Models and Designs that may be granted for said invention to said UNIVERSITY OF CHICAGO, its successors and assigns.

And for the above-named considerations, we hereby agree that we will without additional compensation but without cost to ourselves, promptly communicate to said UNIVERSITY OF CHICAGO, its successors and assigns, any facts known to us respecting said invention whenever requested, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications for Letters Patent, Inventor's Certificates, Utility Models and Designs and assignments thereof, make all rightful oaths and generally do everything possible to aid said assignee, its successors and assigns, as and when requested by them, in obtaining and enforcing proper Letters Patents, Inventor's Certificate, Utility Model and Design protection for said invention or inventions and improvements, in the United States and in all other countries.

Dated: 11/02/04

Dated: 11/02/04

STATE OF ILLINOIS)
) ss
COUNTY OF WILL)

On this 2nd day of November, 2004, before me a Notary Public in and for the County and State aforesaid, appeared the above named persons, who are personally known to me to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed same instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Faith E. Ruppert
Notary Public

APPENDIX B

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

Sun-Ho Kang
Khalil Amine

2. Name and address of receiving party(ies):

The University of Chicago
5801 South Ellis Avenue
Chicago, Illinois 60637

Additional conveying party(ies) **NO**

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

October 26, 2004

Additional name(s) & address(es) attached? **NO**

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

10/903,514

B. Patent Number(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Matthew E. Martin
FOLEY & LARDNER LLP
321 North Clark Street
Suite 2800
Chicago, Illinois 60610-4764

6. Total number of applications/patents involved: **1**

7. Total fee (37 C.F.R. § 3.41): **\$40.00**

Check Enclosed

Charge to deposit account

8. Deposit account number: **06-1450**

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Matthew E. Martin



11-27-04

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **2**

ASSIGNMENT

We, Sun-Ho Kang of Naperville, and Khalil Amine Downers Grove, both in the State of Illinois, for good and valuable considerations to us in hand paid, the receipt and sufficiency whereof is hereby acknowledged, have sold, assigned, conveyed and set over, and do hereby sell, assign, convey and set over unto THE UNIVERSITY OF CHICAGO, an Illinois corporation, organized under the laws of the State of Illinois, having a place of business at 5801 South Ellis Avenue, Chicago, Illinois 60637, its successors and assigns, the entire right, title and interest in and to all subject matter invented or designed by us and disclosed in the patent application for the METHOD AND APPARATUS FOR PREPARATION OF SPHERICAL METAL CARBONATES AND LITHIUM METAL OXIDES FOR LITHIUM RECHARGEABLE BATTERIES, ANL Case No. ANL-IN-02-074b, Attorney Docket No. 051583/074B, filed in the U.S. Patent and Trademark Office on July 30, 2004, which is a Continuation in part of 10/699,484 filed on October 31, 2003, which claimed the benefit of 60/423,347 filed on November 1, 2002, and any divisions and continuations thereof and in and to all Letters Patent of the United States, including any reissues and extensions thereof that may be obtained therefor, and the right, where such right can be legally exercised, in its own name to apply for and obtain Letters Patent, Inventor's Certificates, Utility Models and Designs, in countries foreign to the United States, including the full right to claim for any such application the benefits of the International Convention as fully and entirely as we could have done if the application had been in our names, and the entire interest in any Letters Patent, Inventor's Certificate, Utility Model or Design which may be granted on any such application in such foreign country; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue Letters Patent, Inventor's Certificates, Utility Models or Designs, on applications as aforesaid, to issue any and all Letters Patent, Inventor's Certificates, Utility Models and Designs that may be granted for said invention to said UNIVERSITY OF CHICAGO, its successors and assigns.

And for the above-named considerations, we hereby agree that we will without additional compensation but without cost to ourselves, promptly communicate to said UNIVERSITY OF CHICAGO, its successors and assigns, any facts known to us respecting said invention whenever requested, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications for Letters Patent, Inventor's Certificates, Utility Models and Designs and assignments thereof, make all rightful oaths and generally do everything possible to aid said assignee, its successors and assigns, as and when requested by them, in obtaining and enforcing proper Letters Patents, Inventor's Certificate, Utility Model and Design protection for said invention or inventions and improvements, in the United States and in all other countries.

Dated: 10/26/04

Sun-Ho Kang

Sun-Ho Kang

Dated: 10/26/04

Khalil Amine

STATE OF ILLINOIS)
COUNTY OF Will) ss



On this 26 day of October, 2004, before me a Notary Public in and for the County and State aforesaid, appeared the above named persons, who are personally known to me to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed same instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given

Faith E. Ruppert
Notary Public

* * * COMMUNICATION RESULT REPORT (NOV. 24. 2004 10:35AM) * * *

FAX HEADER 1: FOLEY & LARDNER
FAX HEADER 2: FOLEY & LARDNER

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ATTORNEYS AT LAW
321 NORTH CLARK STREET
SUITE 2800
CHICAGO, ILLINOIS 60610-4764
TELEPHONE: 312.832.4500
FACSIMILE: 312.832.4700
WWW.FOLEY.COM

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Total # of Pages 3 (including this page)

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U.S. Patent and Trademark Office Assignment Division	(703) 308-9723	(703) 306-5995

From : Matthew E. Martin, Esq.
Email Address : memartin@foley.com
Sender's Direct Dial : 312.832.4559
Date : November 24, 2004
Client/Matter No : 51583/303
User ID No : 1982

MESSAGE:

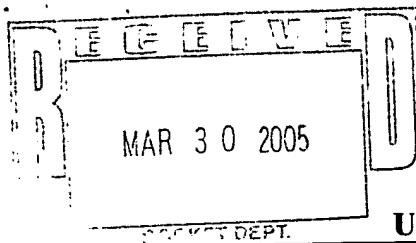
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ATTACHED**

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APPENDIX A



51583/284

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 22, 2005

PTAS



102858920A

FOLEY & LARDNER LLP
MARSHALL J. BROWN
321 NORTH CLARK STREET, SUITE 2800
CHICAGO, IL 60610-4764

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SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/04/2005

REEL/FRAME: 015806/0337

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 051583-0289

ASSIGNEE:
KANG, SUN-HO

DOC DATE: 11/02/2004

ASSIGNEE:
AMINE, KHALIL

DOC DATE: 11/02/2004

ASSIGNEE:
CHICAGO, THE UNIVERSITY OF
5801 SOUTH ELLIS AVENUE
CHICAGO, ILLINOIS 60637

SERIAL NUMBER: 10699484

FILING DATE: 10/31/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: LAYERED CATHODE MATERIALS FOR LITHIUM ION RECHARGEABLE BATTERIES

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (modified)

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03-22-2005

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

3-4-05



To the Director of the United States Patent

102858920

ched original documents or copies thereof.

1. Name of conveying party(ies):

Sun-Ho Kang
Khalil Amine

2. Name and address of receiving party(ies):

The University of Chicago
5801 South Ellis Avenue
Chicago, Illinois 60637Additional conveying party(ies) **NO**

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

November 2, 2004Additional name(s) & address(es) attached? **NO**

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

10/699,484

B. Patent Number(s):

Additional numbers attached? **NO**

5. Name and address of party to whom correspondence concerning document should be mailed:

Marshall J. Brown
FOLEY & LARDNER LLP
321 North Clark Street
Suite 2800
Chicago, Illinois 60610-47646. Total number of applications/patents involved: **1**7. Total fee (37 C.F.R. § 3.41): **\$40.00**

Check Enclosed

 Charge to deposit account8. Deposit account number: **06-1450**

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Marshall J. Brown

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document **2**

ASSIGNMENT

I, Sun-Ho Kang of Naperville and Khalil Amine of Downers Grove, in the State of Illinois for good and valuable considerations to us in hand paid, the receipt and sufficiency whereof is hereby acknowledged, have sold, assigned, conveyed and set over, and do hereby sell, assign, convey and set over unto THE UNIVERSITY OF CHICAGO, an Illinois corporation, organized under the laws of the State of Illinois, having a place of business at 5801 South Ellis Avenue, Chicago, Illinois 60637, its successors and assigns, the entire right, title and interest in and to all subject matter invented or designed by us and disclosed in the patent application for the LAYERED CATHODE MATERIALS FOR LITHIUM ION RECHARGEABLE BATTERIES, ANL Case No. ANL-IN-02-074, Attorney Docket No. 51583/289, filed in the U.S. Patent and Trademark Office on October 31, 2003, Serial No. 10/699,484, and any divisions and continuations thereof and in and to all Letters Patent of the United States, including any reissues and extensions thereof that may be obtained therefor, and the right, where such right can be legally exercised, in its own name to apply for and obtain Letters Patent, Inventor's Certificates, Utility Models and Designs, in countries foreign to the United States, including the full right to claim for any such application the benefits of the International Convention as fully and entirely as we could have done if the application had been in our names, and the entire interest in any Letters Patent, Inventor's Certificate, Utility Model or Design which may be granted on any such application in such foreign country; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue Letters Patent, Inventor's Certificates, Utility Models or Designs, on applications as aforesaid, to issue any and all Letters Patent, Inventor's Certificates, Utility Models and Designs that may be granted for said invention to said UNIVERSITY OF CHICAGO, its successors and assigns.

And for the above-named considerations, we hereby agree that we will without additional compensation but without cost to ourselves, promptly communicate to said UNIVERSITY OF CHICAGO, its successors and assigns, any facts known to us respecting said invention whenever requested, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications for Letters Patent, Inventor's Certificates, Utility Models and Designs and assignments thereof, make all rightful oaths and generally do everything possible to aid said assignee, its successors and assigns, as and when requested by them, in obtaining and enforcing proper Letters Patents, Inventor's Certificate, Utility Model and Design protection for said invention or inventions and improvements, in the United States and in all other countries.

Dated: 11/02/04

Dated: 11/02/04

STATE OF ILLINOIS)
) ss

COUNTY OF WILL)

On this 24th day of November, 2004, before me a Notary Public in and for the County and State aforesaid, appeared the above named persons, who are personally known to me to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed same instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Faith E. Ruppert
Notary Public

APPENDIX B

Facsimile Transmission

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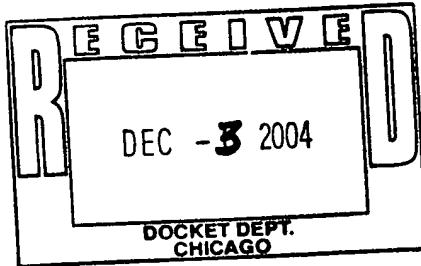
From: Name: USPTO ASSIGNMENT DIVISION
Fax Number:
Voice Phone: 703-308-9723

To: Name: FOLEY & LARDNER LLP
Company: MICHAEL D. RECHTIN
Fax Number: 13128324700
Voice Phone:

Fax Notes:

Pg# Description

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- 2 769.TXT
- 4 Document 1, Batch 385019



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

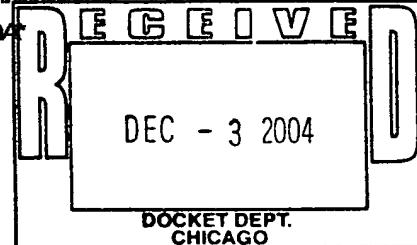


NOVEMBER 24, 2004

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7001322294

FOLEY & LARDNER LLP
MICHAEL D. RECHTIN
321 NORTH CLARK STREET, SUITE 2800
CHICAGO, IL 60610-4764



UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 11/18/2004

REEL/FRAME: 015390/0504
NUMBER OF PAGES: 3

BRIEF: CORRECTIVE COVERSHEET TO CORRECT THE ASSIGNEES' ADDRESS
PREVIOUSLY RECORDED ON REEL 015089, FRAME 0929.
DOCKET NUMBER: 051583-0294

ASSIGNOR:
KANG, SUN-HO

DOC DATE: 03/12/2004

ASSIGNOR:
AMINE, KHALIL

DOC DATE: 03/12/2004

ASSIGNEE:
UNIVERSITY OF CHICAGO, THE
5801 SOUTH FIJI AVENUE
CHICAGO, ILLINOIS 60637

SERIAL NUMBER: 10800292
PATENT NUMBER:
TITLE: LAYERED Li_{1+x}NI_{Al}PHAMNBETAAGAMMAO₂ CATHODE MATERIALS FOR LI-ION
RECHARGEABLE BATTERIES AND METHODS OF MANUFACTURING THE SAME

FILING DATE: 03/12/2004

ISSUE DATE:

015390/0504 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

O I P E

11/10/2004
700132229

FORM PTO-1595 (modified)

(Rev. 6-03)

SEP. 11 2006

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies): Sun-Ho Kang Khalil Amine	2. Name and address of receiving party(ies): The University of Chicago 5801 South Ellis Avenue Chicago, Illinois 60637	
Additional conveying party(ies) NO		
3. Nature of conveyance: Execution Date: March 12, 2004	Correct address of Assignee on document recorded at 015089/0929 Additional name(s) & address(es) attached? NO	
4. Application number(s) or patent number(s): If this is being filed together with a new application, the execution date of the application is: March 12, 2004		
A. Patent Application Number(s): B. Patent Number(s):		
Additional numbers attached? NO		
5. Name and address of party to whom correspondence concerning document should be mailed: Michael D. Rechtin FOLEY & LARDNER LLP 321 North Clark Street Suite 2800 Chicago, Illinois 60610-4764	6. Total number of applications/patents involved: 1 7. Total fee (37 C.F.R. § 3.41): \$40.00 Check Enclosed <input checked="" type="checkbox"/> Charge to deposit account 8. Deposit account number: 06-1450	
DO NOT USE THIS SPACE		
9. Statement and signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.</i>		
Michael D. Rechtin	<i>Michael D. Rechtin</i> October 27, 2004	
Name of person signing	Signature	Date
Total number of pages including cover sheet, attachments, and document: 3		